

#### Key SABCS Presentations Issue 4, 2011

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### **CME Information**

#### LEARNING OBJECTIVES

- Recognize the strengths and weaknesses of the novel RSPC relative to the standard Oncotype DX RS as a prognostic and/or predictive breast cancer biomarker.
- Effectively integrate the Oncotype DX RS into risk-stratified adjuvant breast cancer treatment decision-making.
- Compare and contrast the accuracy with which pre- and postneoadjuvant RS predicts patient risk for disease recurrence.

#### **CREDIT DESIGNATION STATEMENT**

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# **CME Information (Continued)**

#### **FACULTY DISCLOSURES**

The following faculty (and their spouses/partners) reported real or apparent conflicts of interest, which have been resolved through a conflict of interest resolution process:

#### Harold J Burstein, MD, PhD

Associate Professor of Medicine Harvard Medical School Breast Oncology Center Dana-Farber Cancer Institute Boston, Massachusetts

No real or apparent conflicts of interest to disclose.

#### **Clifford Hudis, MD**

Chief, Breast Cancer Medicine Service Solid Tumor Division Department of Medicine Memorial Sloan-Kettering Cancer Center Professor of Medicine Weill Cornell Medical College New York, New York

Paid Research: Merck and Company Inc, Onyx Pharmaceuticals Inc.

**Comparing the Prediction of Chemotherapy Benefit in Node-Negative, ER-Positive Breast Cancer Using the Recurrence Score and RSPC, a New Measure Integrating Clinical** and Pathologic Data with the **Recurrence Score** 

# Objective

- To compare the value of a new clinical tool called the Recurrence Score-Pathology-Clinical (RSPC) risk assessment vs the Oncotype DX<sup>®</sup> Recurrence Score<sup>®</sup> (RS) in predicting chemotherapy benefit.
  - The RSPC was developed to assess risk of distant recurrence by integrating:
    - RS
    - Tumor grade
    - Pathologic tumor size
    - Patient age at surgery
    - Hormonal therapy (tamoxifen or anastrozole)

### Methods

- Retrospective analysis of data from the NSABP-B-20 trial
- Eligibility
  - Participated in the NSABP-B-20 trial (ie, node-negative, ER-positive) of tamoxifen (TAM) or TAM plus chemotherapy (TAM/chemo).
  - Successful Oncotype DX RS
  - ER score  $\geq 6.5$
- The chemotherapy benefit associated with each risk assessment tool was determined using a Cox proportional hazards regression model to determine RS or RSPC risk benefit x treatment interaction.

### **Patient Characteristics**

	TAM alone	TAM/chemo	All
Clinically eligible with evaluable tumor block, n	227	424	651
Onco <i>type</i> DX and ER ≥6.5, n (%)	225 (99.1%)	400 (94.3%)	625 (96%)
Distant recurrence events, n	31	29	60

### Patient Characteristics by Treatment Group (N = 625)

	TAM alone	TAM/chemo
RS, mean	20	21
Tumor grade*		
Low	25%	24%
Intermediate	41%	51%
High	34%	25%
Tumor size, mean	2.1 cm	2.1 cm
Age at surgery, mean	52 years	52 years
RSPC, mean	-2.05	-2.05

\*p = 0.037 for TAM vs TAM/chemo

#### Prediction of Chemotherapy Benefit

	Hazard ratio (95% CI)	<i>p</i> -value
RS*	2.22 (1.75-2.82)	<0.001
Treatment	0.63 (0.35-1.11)	0.11
RS* x treatment	0.65 (0.44-0.97)	0.034
RSPC*	2.43 (1.68-3.54)	<0.001
Treatment	0.64 (0.35-1.18)	0.156
RSPC* x treatment	0.65 (0.39-1.09)	0.1

\*Standardized with standard deviation = 1.

#### Prediction of Chemotherapy Benefit by Risk Group



Hazard Ratio (TAM+Chemo vs TAM alone)

With permission from Tang G et al. *Proc SABCS* 2010; Abstract S4-9.

### Conclusions

- The prediction of chemotherapy benefit was not improved with RSPC compared with RS.
  - Treatment interaction for RS x chemotherapy treatment was significant (p = 0.034) compared with that of RSPC (p = 0.10).
- The recommended method to predict chemotherapy benefit is RS alone.

#### Investigator Commentary: Prediction of Chemotherapy Benefit with the Recurrence Score with or without Clinical and Pathologic Factors

I believe the Recurrence Score-Pathology-Clinical (RSPC) risk assessment approach undermines the value of the Onco*type* DX assay, which is a gene-based assessment of chemotherapy sensitivity. In this study the investigators added in clinical and pathologic factors — with which, in multivariate analyses performed in the early days, the Recurrence Score was demonstrated to be superior.

The RSPC increased the number of patients who are deemed to have "low-risk" disease, but we lose the distinguishing feature of the Onco*type* DX assay, which is its ability to predict chemotherapy benefit. The predictive utility is key for me because it's always been what distinguished the Onco*type* DX assay from many other available prognostic tests. So, at the moment, it's difficult to see what advantage the RSPC offers compared to other prognostic tests. Currently, the Onco*type* DX assay is the only test that has any degree of validation for prediction of benefit from chemotherapy, and that goes away under this RSPC model.

#### Commentary by Clifford Hudis, MD, December 11, 2010

Meta-Analysis of the Decision Impact of the 21-Gene Breast Cancer Recurrence Score in Clinical Practice

#### **Methods**

- Meta-analysis performed on seven studies (n = 912)
  - Six retrospective chart reviews
  - One prospective analysis (Lo S et al. *J Clin Oncol* 2010)
- Studies were included that reported the following:
  - Number of patients who switched from treatment plan of chemotherapy plus hormone therapy (CT+HT) to hormone (HT) only based upon Oncotype DX<sup>®</sup> Assay Recurrence Score<sup>®</sup> (RS) (CT+HT → HT)
  - Number of patients who switched from HT-only treatment plan to CT+HT (HT  $\rightarrow$  CT+HT) based upon RS

### **Study Summaries**

Before RS	CT + HT		HT	
After RS	CT + HT	нт	CT + HT	нт
Asad J et al. <i>Am J Surg</i> 2008 (n = 81)	24	36	8	13
Henry L et al. <i>J Surg Oncol</i> 2009 (n = 29)	6	7	2	14
Klang S et al. Value in Health 2010 (n = 313)	69	105	20	119
Liang H et al. <i>Proc SABCS</i> 2007 (n = 260)	125	85	3	47
Lo S et al. <i>J Clin Oncol</i> 2010 (n = 83)	20	20	3	40
Oratz R et al. <i>J Oncol Pract</i> 2007 (n = 68)	19	14	3	32
Thanasoulis T et al. Proc ASBS 2008 (n = 78)	8	30	2	38

• Before RS testing: 568 (62%) of patients were recommended to be treated with adjuvant CT+HT.

• After RS testing: 312 (34%) of patients were recommended to be treated with adjuvant CT+HT.

### **Probabilities of CT + HT**

	Before RS	After RS	Difference
Asad J et al. Am J Surg 2008	74%	40%	-35%
Henry L et al. J Surg Oncol 2009*	45%	28%	-17%
Klang S et al. Value in Health 2010	56%	28%	-27%
Liang H et al. Proc SABCS 2007	81%	49%	-32%
Lo S et al. J Clin Oncol 2010	48%	28%	-20%
Oratz R et al. J Oncol Pract 2007	49%	32%	-16%
Thanasoulis T et al. Proc ASBS 2008	49%	13%	-36%
All studies	62%	34%	-28%
Excluding Liang H et al.	55%	28%	-27%

\*All studies except Henry et al had statistically significant differences in CT recommendation before and after RS testing.

• Results: Net reduction of CT+HT recommendation of 28%

### Effect of Recurrence Score on Treatment Plans



- RS led to 37% change in treatment decisions overall.
- RS testing led to 52% switch in treatment recommendations in patients who were initially recommended to adjuvant CT+HT.
- RS testing led to 12% switch in treatment recommendations in patients who were initially recommended to HT only.

### Decision Impact of the 21-Gene Breast Cancer Recurrence Score in Clinical Practice

Rx Plan Before RS	Rx Plan After RS	N = 912 (%)
СТ —	→ CT	271 (30%)
HT only	HT only	303 (33%)
HT only	→ CT	41 (4%)
СТ	HT only	297 (33%)

### Discussion

- Overall reduction of CT recommendation or use is approximately 28%.
- One study (Liang H et al. *Proc SABCS* 2007) reported treatment recommendation based on NCCN guidelines against the RS.
  - Mean chemotherapy difference of 27% with this study excluded from analysis.
- The RS led to approximately 37% change in treatment decision.
- This meta-analysis summarizes the experience with RS in both academic institutions and community-based centers.

#### Limitations:

- Data are predominately US-based and may not reflect the regional variation in chemotherapy use around the world.
- Data from recently published prospective TRANSGEICAM study were not available at the time of this analysis.
  - Reported 15.5% of patients switched from CT+HT to HT and 12.7% of patients switched from HT-only to CT+HT (Albanell J et al. *Proc ESMO* 2010).

### Conclusions

- This meta-analysis shows approximately 27-28% reduction in the recommendation of chemotherapy after Onco*type* DX assay Recurrence Score testing.
- Overall, the RS changed more than a third of treatment decisions:
  - 33% of the overall population switched from CT+HT to HT only after RS testing.
  - 4% of the overall population switched from HT only to CT +HT after RS testing.

# Investigator Commentary: Meta-Analysis of the Effect of the Oncotype DX Assay on Clinical Decision-Making

In this meta-analysis of seven published reports with approximately 1,000 patients, the investigators attempted to evaluate the effect of the Recurrence Score on clinical decision-making. They demonstrated consistently that the use of the Recurrence Score resulted in less administration of adjuvant chemotherapy.

On one hand, these findings are not surprising because the Recurrence Score performs well in identifying patients in the low- to intermediaterisk zone who do not need chemotherapy. A back-of-the-envelope calculation would quickly suggest that it should lower the use of adjuvant chemotherapy. On the other hand, it's a nice confirmation of that expectation and this is important to third-party payers and other regulators — because even an expensive test that spares patients chemotherapy will quickly pay for itself, because of the relatively high cost of chemotherapy.

Interview with Harold J Burstein, MD, PhD, December 22, 2010

### Prognostic Value of Genomic Analysis After Neoadjuvant Chemotherapy for Breast Cancer

#### Methods

- Patients were recruited from the Dana-Farber Cancer Institute 05-055 Phase II trial of adjuvant bevacizumab-based therapy for patients with residual disease after neoadjuvant therapy.
  - Accrual between 2005 and 2008
  - Sample size: 162 patients
- Formalin-fixed paraffin-embedded tissue blocks obtained at the following timepoints:
  - Baseline core biopsy
  - Residual tissue from surgery
  - Time of metastatic recurrence
- ER, PR and HER2 determined by IHC and/or FISH for all samples.
- Standard Onco*type* DX<sup>®</sup> testing was performed on all samples.

### **Study Design**



### **Clinical Samples Summary**

	Samples	Patients
Included in data analysis	116	80
Core biopsy samples	47	—
Surgical excision samples	67	—
Core biopsy and surgical specimen pairs	68	34
Recurrence specimen	2	2

- A total of 20 patients experienced distant recurrence.
- A majority of patients were ER-positive and/or PR-positive and HER2-negative.

### Distribution of Recurrence Score (RS) Values



n = 80 samples
47 core biopsies
33 surgical specimens

• A high RS was positively associated with distant recurrence With permission from Mayer EL et al. *Proc SABCS* 2010; Abstract P3-10-13.

### Comparison of RS Values from Patients with Core Biopsy and Surgical Specimens (n = 34)



\* RS was highly correlated before and after exposure to chemotherapy (95% CI 0.72-0.92) With permission from Mayer EL et al. *Proc SABCS* 2010; Abstract P3-10-13.

### Concordance of ER/PR Testing by IHC vs RT-PCR in Prechemotherapy Samples



 Good concordance exists in ER/PR testing by local IHC vs RT-PCR for the prechemotherapy samples (n = 47 core biopsies)

With permission from Mayer EL et al. *Proc SABCS* 2010; Abstract P3-10-13.

#### Summary

- A high RS appeared to be associated with disease recurrence for the entire study cohort (p = 0.04).
- The RS determined either before or after neoadjuvant chemotherapy also appeared to be associated with disease recurrence (Pearson r = 0.85).
- RT-PCR results for ER/PR/HER2 remained consistent despite interval chemotherapy (data not shown).
- Despite high concordance between IHC and RT-PCR for ER/PR, the observed 6-11% discordance is of unclear origin and may have meaningful clinical consequences.
- Confirmation of the potential prognostic role of postneoadjuvant chemotherapy RS warrants additional study.

#### **Investigator Commentary: Prognostic Value of Genomic Analysis After Neoadjuvant Chemotherapy**

Our group conducted a series of studies in which we evaluated treating a unique and high-risk group of patients with breast cancer who had residual disease after neoadjuvant chemotherapy. On a series of protocols we offered them additional treatments, mostly built around bevacizumab.

We wanted to know how the pre- and post-treatment biopsy Recurrence Scores<sup>®</sup> correlated and whether we could study the residual tumors with an Oncotype DX<sup>®</sup> assay to predict disease recurrence. Our studies were limited by a small sample size, but we showed a good correlation between tumor biopsy preneoadjuvant therapy and postneoadjuvant therapy. So whatever chemotherapy is doing to the tumor, it's not changing its Recurrence Score phenotype that much.

We also showed that if you review the post-treatment biopsy results by Recurrence Score, they remain robust predictors of the chance of disease recurrence in the years ahead. So we saw no real surprises in these findings — rather, it was another demonstration of the power of these molecular diagnostic tests.

#### Interview with Harold J Burstein, MD, PhD, December 22, 2010